

In re Application of  
Holly Ann Comanzo et al.  
Serial No. 10/064,121  
Filed: June 12, 2002

: Group Art Unit:  
: Examiner:

For: IMPROVED EUROPIUM-ACTIVATED PHOSPHORS  
CONTAINING OXIDES OF RARE-EARTH AND  
GROUP-IIIB METALS AND METHOD OF MAKING  
THE SAME

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JUL 23 2002  
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**A Statement From Venkatesan Manivannan**

BOX DAC  
HONORABLE ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

I, Venkatesan Manivannan of 14J Hollendale Lane, Clifton Park, New York 12065, state that:

The above-identified patent application erroneously omitted my name as an inventor. This inventorship error occurred without any deceptive intention on my part.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful statements may jeopardize the validity of the application or any patent issued thereon.

By: Venkatesan Manivannan  
Venkatesan Manivannan

Dated: 06/27/02

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

HOLLY ANN COMANZO et al.

Serial No. 10/064,121

Filed: June 12, 2002

For: IMPROVED EUROPIUM-ACTIVATED  
PHOSPHORS CONTAINING OXIDES  
OF RARE-EARTH AND GROUP-IIIB  
METALS AND METHOD OF MAKING  
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**VERIFIED STATEMENT OF HOLLY ANN COMANZO, ANANT ACHYUT  
SETLUR, ALOK MANI SRIVASTAVA, AND VENKATESAN MANIVANNAN**

HONORABLE ASSISTANT COMMISSIONER FOR PATENTS,  
WASHINGTON, D.C. 20231

Sir:

HOLLY ANN COMANZO, ANANT ACHYUT SETLUR, ALOK MANI  
SRIVASTAVA declare that:

We are originally named inventors in the invention disclosed and initially  
claimed in the above-identified patent application.


The invention described and claimed in the above-identified patent  
application was developed in part by Holly Ann Comanzo, Anant Achyut Setlur,  
and Alok Mani Srivastava, and in part by Venkatesan Manivannan while in the  
employ of General Electric Company. On or about June 14, 2002, after a review  
of the disclosures and file of the above-identified patent application, the  
contribution to the invention of Mr. Venkatesan Mannivan was brought to the  
attention of Applicants' representative, Mr. Toan P. Vo, by Ms. Stephanie Ross of

the docket operation of General Electric Company Global Research Center. Mr. Toan P. Vo determined that Mr. Venkatesan Manivannan was inadvertently omitted, without deceptive intent, as being named as an inventor in this patent application. Immediate steps were diligently taken to correct this inadvertent error, which result in the filing of the attached papers.

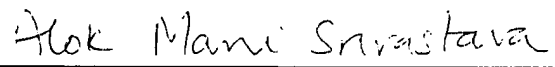
We declare further that all statements made herein of our own knowledge are true and that statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

  
Holly Ann Comanzo

6/24/02  
Date

  
Anant Achyut Setlur

6/24/02  
Date

  
Alok Mani Srivastava

6/24/02  
Date

As a below named inventor, I hereby declare that:

JUL 8 2002

My residence, post office address and citizenship are as stated below next to my name

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**IMPROVED EUROPIUM-ACTIVATED PHOSPHORS CONTAINING OXIDES OF RARE-EARTH AND****GROUP-IIIB****METALS AND METHOD OF MAKING THE SAME**

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the specification of which is attached hereto unless the following box is checked:

☒ was filed on 6/12/02 as United States Application Number or PCT International Application Number 19/20100/21  
and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56. I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior **F** reign Application

Priority Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐ Yes ☐ No

(Number)

(Country)

(Day/Month/Year Filed)

☐ Yes ☐ No

I hereby claim the benefit under Title 35, United States Code, §119(e) of any **United States provisional application(s)** listed below.

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

I hereby claim the benefit under Title 35, United States Code §120 of any **United States Application(s)** listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(Application Number)

(Filing Date)

(Status - patented, pending, abandoned)

(Application Number)

(Filing Date)

(Status - patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, **CUSTOMER NO. 006147.**

Toan P. Vo, Reg. No. 43,225

Christian G. Cabou, Reg. No. 35,467, Jill M. Breedlove, Reg. No. 32,684, Donald S. Ingraham, Reg. No. 33,714, Noreen C. Johnson, Reg. No. 38,929, Patrick K. Patnode, Reg. No. 40,121, Ronald E. Myrick, Reg. No. 26,315, Henry J. Policinski, Reg. No. 26,621, James W. Mitchell, Reg. No. 25,602, Bernard Snyder, Reg. No. 24,843, Catherine J. Winter, Reg. No. 38,364, and John F. Beninati, Reg. No. 40,510.

Address all telephone calls to: Toan P. Vo at telephone number (518) 387-6648

Address all correspondence to: **General Electric Company**  
**CRD Patent Docket Rm 4A59**  
**P.O. Box 8, Bldg. K-1**  
**Schenectady, New York 12301**

10/01/01

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

## SOLE OR FIRST INVENTOR:

Full name: Holly Ann Comanzo

First Name

Middle Name

Last Name

Signature: Holly Ann Comanzo

Date

6/27/02Residence: Niskayuna, New York

City and State

Citizenship: USAPost Office Address: 2506 Eastern Parkway, Niskayuna, New York 12309

## SECOND JOINT INVENTOR:

Full name: Anant Achyut Setlur

First Name

Middle Name

Last Name

Signature: Anant Achyut Setlur

Date

6/27/02Residence: Niskayuna, new York

City and State

Citizenship: USAPost Office Address: 281 Orchard Park Drive, Niskayuna, New York 12309

## THIRD JOINT INVENTOR:

Full name: Alok Mani Srivastava

First Name

Middle Name

Last Name

Signature: Alok Mani Srivastava

Date

6/27/02Residence: Niskayuna, New York

City and State

Citizenship: IndiaPost Office Address: 1378 Philomena Road, Niskayuna, New York 12309

## FOURTH JOINT INVENTOR:

Full name: Venkatesan (nmn) Manivannan

First Name

Middle Name

Last Name

Signature: V. Manivannan  
de Venkatesan Manivannan

Date

06/27/02Residence: Clifton Park, New York

City and State

Citizenship: IndianPost Office Address: 14J Hollendale Lane, Clifton Park, New York 12065

JUL 08 2002

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## NAME AND ADDRESS OF DEPOSITOR

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GRC Patent Docket Room  
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S. R. Ross  
P.O. Box 8  
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## DESCRIPTION OF ARTICLES OR SERVICES TO BE FURNISHED

Please charge Account Number 07-0868 \$130.00 to add the following inventor.

Inventor: Venkatesan (nmn) Manivannan

Serial No.: 10/064,121

Filed: June 12, 2002

For: IMPROVED EUROPIUM-ACTIVATED PHOSPHORS CONTAINING OXIDES OF  
RARE-EARTH AND GROUP-IIIB METALS AND METHOD OF MAKING THE SAME

GE Co. Docket No.: RD-29,360

Group Art Unit:

If additional space is needed attach separate sheet

(signature)

Toan P. Vo

Registration No.: 43,225

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CONSENT OF ASSIGNEE

HONORABLE ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

Having the authority to act on behalf of General Electric Company, I hereby give consent to the request of inventorship correction.

Respectfully submitted,

Toan P.V.

Toan P. Vo  
Patent Counsel  
Reg. No. 43,225  
(518) 387-7863

Schenectady, New York  
June 26, 2002

Applicant:  
Holly Ann Comanzo et al.

Serial No.: 10/064,121

Filed: June 12, 2002

Patent No. (if issued):

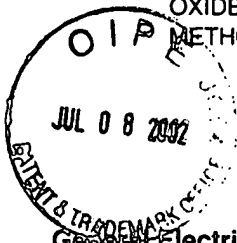
Issued:

Docket No. RD-29,360

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Entitled: IMPROVED EUROPIUM-ACTIVATED PHOSPHORS CONTAINING  
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### STATEMENT UNDER 37 CFR 3.73(b)

General Electric Company, a corporation, certifies that it is the assignee of the patent application identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 12793, Frame(s) 0164, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of the Assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

Date: 6/27/02

Noreen C. Johnson  
Noreen C. Johnson - Patent Counsel